

The questions on this form collect the information required under Canada's *Proceeds of Crime (Money Laundering) and Terrorist Financing Act* about politically exposed persons.

Applicant name		Date of birth (mmm/dd/yyyy)	
Applicant address (number, street, apartment)	City	Province	Postal code

If there is more than one politically exposed person connected to this account, please complete a separate form for each person.

Is another PEP form attached? No Yes

A Politically Exposed Person (PEP) is a person, or a close relative¹ or close associate² of a person, who holds, or has held, certain positions³ in or on behalf of the state. A PEP falls into one or more of these categories:

- a Politically Exposed Foreign Person (PEFP) holds or has held the position outside Canada
- a Politically Exposed Domestic Person (PEDP) holds or has held the position within Canada in the last five years
- the Head of an International Organization or an institution established by an international organization⁴ (HIO)

Definitions:

- ¹ A close relative is a spouse; common-law partner; mother; father; child; brother or half-brother; sister or half-sister; spouse's or common-law partner's mother or father.
- ² A close associate is someone other than a family member with whom the PEP has a mutually beneficial relationship, whether for business or personal reasons.
- ³ This form lists these foreign and domestic positions later in this section.
- ⁴ The head of an institution that was established by an international organization, was set up by the governments of more than one country and was formed through a formally-signed agreement between the governments of more than one country. The HIO is the primary person who leads the institution; for example, a president or CEO. This PEP also includes a close relative¹ of the person or close associate² of the HIO.

Answer all questions in this section that apply to the PEP.

Is the applicant, the person contributing the funds, or any close relative or close associate of either person, a PEP?

No Yes If yes, complete the remainder of the section.

Who is politically exposed?

Applicant Contributor (current or future) Recipient (only applicable when transferring money externally to a third party)

Name of person who holds or held a political office and/or is the head of an international organization? (first, middle initial last) In what country is/was the position held?

During what time period was the position held?

Starting month and year Ending month and year

Name of organization, agency or government department

Title of position held (if applicable)

What office or position is or was held by the person who is or was politically exposed in a foreign country? (if applicable)

- | | |
|--|--|
| <input type="radio"/> Head of state or head of government | <input type="radio"/> President of a state-owned company or bank |
| <input type="radio"/> Member of the executive council of government or member of a legislature | <input type="radio"/> Head of a government agency |
| <input type="radio"/> Deputy minister or equivalent rank | <input type="radio"/> Judge of a supreme court, constitutional court or other court of last resort |
| <input type="radio"/> Ambassador or ambassador's attaché or counsellor of an ambassador | <input type="radio"/> Leader or president of a political party represented in a legislature |
| <input type="radio"/> Military officer with a rank of general or above | <input type="radio"/> Holder of any prescribed office or position |

What office or position is or was held by the person who is or was politically exposed in Canada in the last five years? (if applicable)

- | | |
|--|--|
| <input type="radio"/> Governor General, Lieutenant Governor, or head of government | <input type="radio"/> Head of a government agency |
| <input type="radio"/> Member of the Senate or House of Commons, or member of legislature | <input type="radio"/> Judge of an appellate court in a province, the Federal Court of Appeal, or the Supreme Court of Canada |
| <input type="radio"/> Deputy minister or equivalent rank | <input type="radio"/> Leader or president of a political party represented in a legislature |
| <input type="radio"/> Ambassador or ambassador's attaché or counsellor of an ambassador | <input type="radio"/> Holder of any prescribed office or position |
| <input type="radio"/> Military officer with a rank of general or above | <input type="radio"/> Mayor |
| <input type="radio"/> President of a corporation that is wholly owned directly by Her Majesty in right of Canada or a province | |

The person currently holds, or within the past five years has held, a position as head of an international organization

What is the relationship between the person named above and the applicant?

- | | | |
|---|--|---|
| <input type="radio"/> Self | <input type="radio"/> Spouse or common-law partner | <input type="radio"/> Mother or father |
| <input type="radio"/> Child (including legally adopted) | <input type="radio"/> Brother, sister, half-brother or half-sister | <input type="radio"/> Spouse's or common-law partner's mother or father |
| <input type="radio"/> Close associate | | |

Please indicate source(s) of funds that are being or will be deposited into the account.

Source of funds refers to the origin of deposit being made into the account.

Check all that apply. Please note that supporting documentation may be requested.

- | | | |
|---|---|--|
| <input type="radio"/> Employment income | <input type="radio"/> Real estate* (please include address below) | <input type="radio"/> Investment income* |
| <input type="radio"/> Self-employment income* | <input type="radio"/> Inheritance* (please provide name & relationship below) | <input type="radio"/> Legal claim or settlement* |
| <input type="radio"/> Insurance policy funds* | <input type="radio"/> Insurance settlement* | <input type="radio"/> Gift* |
| <input type="radio"/> Sale of asset* | <input type="radio"/> Other* | |

*Please provide additional details including how the funds were acquired:

Please indicate the source(s) of wealth of the individual/entity contributing the funds.

Source of wealth refers to the individual's/entity's entire body of wealth.

Check all that apply. Please note that supporting documentation may be requested.

- | | | |
|---|---|--|
| <input type="radio"/> Employment income | <input type="radio"/> Real estate* (please include address below) | <input type="radio"/> Investment income* |
| <input type="radio"/> Self-employment income* | <input type="radio"/> Inheritance* (please provide name & relationship below) | <input type="radio"/> Legal claim or settlement* |
| <input type="radio"/> Insurance policy funds* | <input type="radio"/> Insurance settlement* | |
| <input type="radio"/> Sale of asset* | <input type="radio"/> Other* | |

*Please provide additional details including how the individual/entity accumulated their wealth:

Applicant authorization

By signing below, you confirm the information provided is complete and accurate. You also agree to promptly notify Manulife Bank should any of this information change.

Signature

Date (mmm/dd/yyyy)