

## Third Party Identification

This form is to be used by advisors, brokers or Bank employees to record the information required under the Proceeds of Crime (Money Laundering) and Terrorist Financing Act when an account is opened or suspected to be opened on behalf of a third party.

<b>1 Application information</b>	<input type="radio"/> Investments <input type="radio"/> Demand Account <input type="radio"/> Loan/Mortgage	Name of account owner(s) (first, middle initial, last)			
	Please indicate the account or loan this form is connected to.	Number	<input type="radio"/> New application If new, please provide date of application (mmm/dd/yyyy): <input type="radio"/> Existing application		
<b>2 Third party information</b>  If the third party is an <b>individual person</b> please provide us with the following information about that person.	Name (first, middle initial, last)		Date of birth (mmm/dd/yyyy)		
	Phone number		Email address		
	Address				
	City		Province	Postal code	
	Principal business or occupation of the third party				
If the third party is an <b>incorporated entity</b> please provide the following information about that entity.	Name				
	Address				
	City		Province	Postal code	
	Business phone number and extension		Business email address		
	Business website				
	Principal business of the third party				
	Incorporation number		Place of issue for incorporation number		
Registration number		If outside Canada, provide the Jurisdiction and Country			
If the third party is an <b>unincorporated entity</b> please provide the following information about that entity.  Examples of unincorporated entities: <ul style="list-style-type: none"> <li>• Organizations</li> <li>• Clubs</li> <li>• Partnerships</li> </ul>	Name				
	Address				
	City		Province	Postal code	
	Business phone number and extension		Business email address		
	Business website				
	Principal business of the third party				
	Reference number (e.g. BIN, Partnership Registration)		If outside Canada, provide the Jurisdiction and Country		

**3 Nature of relationship and other details**

What is the nature of the relationship between the third party identified above and the account owner(s)?

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If you are unable to determine that the account owner is acting on behalf of a third party but you have reasonable grounds to suspect there is a third party involved, please describe your reasons for your suspicions.

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**4 Signatures**

To be completed by the advisor, broker or Bank employee.

By signing below, you confirm that you have collected the third party information from the account owner(s) or provided details of your suspicions that the account is opened on behalf of a third party.

Completed by (first, middle initial, last)	Date signed (mmm/dd/yyyy)
Signature	Branch number (if applicable)